Lincoln Financial Advisors®

A member of Lincoln Financial Group

Lincoln Financial Advisors comprises a group of trained specialists in over 40 locations nationally who help you understand complex estate, business transfer and retirement problems. We have a long, proud history of helping meet the needs of business owners, executives, retirees and professionals.

Our primary role is to understand your situation, then provide you with the information, advice and alternatives you need to make the appropriate decisions about your financial future.

Document Checklist

Lincoln Financial Advisors
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A review of your financial documents is a critical part of understanding your present financial situation. This review will enable us to fully evaluate the appropriateness of your current plan, identify weaknesses and make relevant observations and recommendations.

Personal Documents

- ❑ Income Tax Returns, 2 years
- ❑ Copies of Wills, Durable Powers of Attorney, Healthcare POA
- ❑ Trusts (Living, Life Insurance, Credit Shelter, etc.)
- ❑ Marital Property Agreements
- ❑ Insurance Policies (Life, Disability Income, Long Term Care)
- ❑ Most recent statements and/or current values for all insurance policies (Life, DI, LTC)
- ❑ Most recent payroll stubs
- ❑ Gift Tax Returns (Form 709)
- ❑ Most recent Profit Sharing, 401(k), 403(b), 457, or Pension Statement
- ❑ State and/or Company Defined Benefit Annual Statement
- ❑ Investment Statements (Brokerage, Mutual Funds, IRAs, 529, etc.)
- ❑ Annuity Contracts & statements
- ❑ Pre-Nuptial Agreements
- ❑ Approximate value of real estate and personal property (incl. home)
- ❑ Loan list & payment schedules
- ❑ Company Benefit Booklet(s)

Business Documents

- ❑ Financial Statements, 3 years
- ❑ Tax Returns, 3 years
- ❑ Stockholders Agreements
- ❑ Buy/Sell Agreements
- ❑ Benefit Plan Booklet
- ❑ Profit Sharing, 401(k) or Pension Plan Booklet
- ❑ Profit Sharing or Pension Plan Investment Statements
- ❑ Company Investment Statements
- ❑ Company owned Life Insurance Policies and most recent policy statement
- ❑ Company owned Disability Income Insurance Policies
- ❑ Company Loan Agreements
- ❑ Executive Benefit Agreements (i.e., Deferred Compensation, Split Dollar, etc.)
- ❑ Other___________________
- ❑ Other___________________
- ❑ Other___________________